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Practitioner's Docket No. 2458-4042US5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Richard S. Judson et al.
Application No.: 10/019,342
Filing Date: December 21, 2001

Art Unit: 1631
Examiner: Marianne P. Allen

For: Methods for Obtaining and Using Haplotype Data

Commissioner for Patents
Arlington, VA 22202

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(e)(1)

Enclosed herewith please find:

1. Identification of Information Disclosure Statement for which this Statement is Being Made
2. Information Disclosure Statement by Applicant Form PTO/SB/08a (1 page)
3. Information Disclosure Statement by Applicant Form PTO/SB/08b (1 page)
4. Copies of Listed References Accompanying this Statement (5 references-Total)
5. Return receipt postcard

Date: October 21, 2004
Tel. No. 203-786-3468
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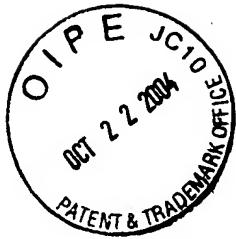
Sandra L. Shaner
Sandra L. Shaner
Genaissance Pharmaceuticals, Inc.
Five Science Park
New Haven, CT 06511

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10*

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Kathleen Parcell
Signature of person mailing



Practitioner's Docket No. 2458-4042USS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard S. Judson, Andreas K. Windemuth and J. Claiborne Stephens

Application No.: 10/019,342
Filed: December 21, 2001

Group No.: 1631
Examiner: Marianne Allen

For: Methods for Obtaining and Using Haplotype Data

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FOR INFORMATION DISCLOSURE
UNDER 37 C.F.R. § 1.97(e)(1)**

**IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

STATEMENT

2. I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is:

the practitioner who signs below on the basis of the information supplied by an individual designated in section 1.56(c).

Date: October 21, 2004
Tel. No. 203-786-3468
Registration No. 47,934
Customer No. 25106



Sandra L. Shaner
Genaissance Pharmaceuticals, Inc.
Five Science Park
New Haven, CT 06511



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~~Substitute for form 1449A/PTO~~

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/019,342
Filing Date	12/21/2001
First Named Inventor	Richard S. Judson
Art Unit	1631
Examiner Name	Marianne P. Allen
Attorney Docket Number	2458-4042US5

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
BA	WO	99/04038	A	01/28/1999	Genset SA	at least example 12,
						claim 61, fig. 12

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/019,342
Filing Date	12/21/2001
First Named Inventor	Richard S. Judson
Art Unit	1631
Examiner Name	Marianne P. Allen

Attorney Docket Number 2458-4042US5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	W. SIEDLECKI ET AL., "On automatic feature selection," Int. J. of Pattern Recognition and Artificial Intelligence, Vol. 2 (No. 2), p. 197-220, (1988).	
	AB	A. JAIN & D. ZONGKER, "Feature Selection: Evaluation, Application, and Small Sample Performance," IEEE Transactions on Pattern Analysis and Machine Intelligence, IEEE Comput. Soc USA, Vol. 19 (No. 2), p. 153-158, (February 1997).	
	AC	M. DASH & H. LIU, "Feature Selection for Classification," Intelligent Data Analysis, Elsevier USA, Vol. 1 (No. 3), p. 131-156, (1997).	
	AD	A. SJALANDER ET AL., "p53 germ line haplotypes associated with increased risk for colorectal cancer," Carcinogenesis, Vol. 16 (No. 7), p. 1461-1464, (July 1995).	

Examiner Signature		Date Considered
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